

**REPORT TO THE TWENTY-FIRST LEGISLATURE  
STATE OF HAWAII  
2002**

**PURSUANT TO ACT 259, SECTION 25,  
REGARDING MATERNAL AND CHILD HEALTH SERVICES  
AND CONTRACT SERVICES FOR HOME VISITATION  
TO SUPPORT THE HEALTHY START PROGRAM**

**PREPARED BY:  
STATE OF HAWAII  
DEPARTMENT OF HEALTH  
DECEMBER 2001**

## HEALTHY START

Hawaii's Healthy Start began as a demonstration child abuse and neglect prevention project in July 1985 in one location on Oahu. Today Healthy Start is statewide at nineteen sites as well as replicated in over three hundred sites nationwide. Healthy Start on the mainland is known as Healthy Families America. Healthy Start has two major components: 1) the Early Identification component provides statewide, universal population-based screening and assessment to identify at-risk families birthing in civilian hospitals; and 2) the Home Visiting component provides intensive, long-term home visiting by trained para professionals to improve family functioning, to promote child health and development, to enhance positive parenting skills and to prevent child abuse and neglect. With the additional Felix requirements and challenges to families brought on by welfare reform, two additional professional positions were added to support the para professional home visitors, the Child Development Specialist and the Clinical Specialist.

The Early Identification component: Workers in the Early Identification component use a two-stage screening and assessment protocol. Screening is either a medical record review or face to face interview. If the screen is negative, the family is considered not at-risk. If the screen is positive or contains too little information to make a determination, a face-to-face assessment interview is conducted using Henry Kempe's Family Stress Checklist. If either parent scores thirty or over, the family is considered at-risk and eligible for home visiting. Approximately one third of all assessed families are found to be at-risk.

The Home Visiting component: Early Identification staff refer at-risk families to the Home Visiting component. Home Visiting services are voluntary and intended to continue until the child reaches three years of age. Home visitors are trained para professionals working under professional supervision. Home visiting includes both long term and intensive direct services as well as linkage with community resources, including a medical home for the child. Direct service includes providing informed support and advocacy for families; encouraging them to seek professional help for progress blocking issues such as substance abuse, maternal depression and domestic violence; teaching about child development, parenting skills and problem solving techniques. Direct service staff includes family support workers, child development specialists, clinical specialists and clinical supervisors.

## SUMMARY OF HEALTHY START'S HOME VISITING SERVICES AND EXPENDITURES ACT 259, SECTION 25

### **General Fund Appropriation and Supplemental Funding**

This report provides a summary of Healthy Start Home Visiting services, expenditures and projections for families and children served in Fiscal Year (FY) 2001, FY 2002 and FY 2003, as requested in Act 259, Section 25.

### **Service Variances**

Home Visiting services were mandated in November, 2000 to ensure that all eligible families were offered services. Fiscal Year 2001 Projections were based on FY 2000 pre-Felix rates when only sixty percent (60%) of the civilian births statewide were screened. Assessment rates were higher and discharge rates were lower. Consequently, the projected number of families served for FY 2001 was higher than the projections for FY 2002 and FY 2003. FY 2002 and 2003 projections were based on the actual rates of FY 2001. In addition the immediate start up of services did not consider the time needed for some programs to recruit and train new staff and to purchase operating support. Numbers of families and children served as well as Home Visiting contacts are expected to increase in FY 2002 as programs will be fully operational.

Monthly Home Visiting contacts are difficult to project because families may be at any one (1) of nine (9) service levels based on the family's progress in reaching program goals. For example, during the year a family may be at a variety of levels each requiring zero (0), one (1), two (2) or four (4) home visits per month. The other contacts vary widely depending on the family's needs and situation.

### **Fiscal**

#### **Home Visiting Expenditures by Month Using FAMIS Report -- FY 2001**

The Expenditures by Month Using FAMIS report shows the liquidations and encumbrances as of June 2001 (for FY2001). At year-end (6/30/01), less than one percent (\$59,592) of FY 2001's budget was unobligated. Some FY 2001 contracts are still outstanding as of December 2001. Two (2) FY 2001 contracts were extended beyond the original contract end date of June 30, 2001; Parents and Children Together (PACT) and Young Women's Christian Association (YWCA) of Hawaii Island. PACT is extended until August 31, 2001 using FY 2001 funding and YWCA of Hawaii Island is extended until September 30, 2001 using FY 2002 funding.

#### **Home Visiting Expenditures by Month Using FAMIS Report From July to September 2001 and Projected Home Visiting Expenditures by Month from October 2001 to June 2001 -- FY 2002**

FY 2002 is the beginning of a 2-year contract period and there were no liquidations in the months of July and August 2001. September shows some expenditures of \$344,174.25. All POS HV contracts for FY 2002 are encumbered and the remaining nine months reflect projections.

#### **Projected Home Visiting Expenditures by Month Report -- FY 2003**

For FY 2003, all POS HV services for FY 2003 are designated in their respective contracts.

## Guide for Healthy Start Services

### **Home Visiting (HV) Services**

1. Number of families projected for HV Services
  - a. Use “total families served” projections (carry-over plus newly admitted)). Do not use “active static caseload” projections as these include discharges which will be subtracted at the end of the year to compute carry-over.  
For FY 2001 used rates from FY 2000 data.
  - b. For FY 2001, use projected total of new families referred minus 10% refusals for first four months of FY 2001, prior to Felix. Compute the last eight months of FY 2001 by dividing the total projected families referred for the entire year, divided by twelve and multiplied by eight months.
  - c. Add the total of the first four months and the total of the last eight months.
  - d. For FY 2002, use 41% discharge rate of FY 2001. However, FY 2001’s discharge rate may be inflated due to the discharge of all target children without a younger target child over three years old in FY 2001.
  - e. For FY 02, use actual carry-over from FY 01, add projected new families at 31% of Assessed (FY 01 rate)
  - f. For FY 03, use 41% discharge rate on FY01 total served to get carry-over. Add projected new families at 31% of Assessed (FY 01 rate)
2. Actual number of families provided home visiting services
  - a. Use “Number of Families and Target Children Active” report for FY 2001 for all three models, HS, Expansion and HL.
  - b. Total only carry-over from FY 2000-2001 plus newly admitted families.
3. Number of children projected for HV services.
  - a. Multiply number of families by 1.032. This is the FY2001 rate of children to families. (3162 divided by 3064=1.032)
4. Actual number of children provided HV services.
  - a. Use “Number of Families and Target Children Active” report for FY 2001 for all three models, HS, Expansion and HL.

Healthy Start Services  
FY 2001  
Act 259, Section 25

**Fiscal Year 2001**

	Jul-00		Aug-00		Sep-00		Oct-00		Nov-00		Dec-00		Jan-01		Feb-01		Mar-01		Apr-01		May-01		Jun-01			
Home Visiting Clients	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	Projected	Actual	PROJ TOTAL	ACTUAL TOTAL
FamiliesServed	1679	1401	1806	1428	1933	1455	2060	1531	2188	1583	2315	1640	2442	1719	2569	1763	2697	1834	2824	1915	2951	2002	3078	1968	4,731	3,064
ChildrenServed	1733	1515	1864	1532	1995	1558	2126	1641	2258	1700	2389	1738	2520	1816	2651	1851	2783	1943	2914	1990	3045	2067	3176	1992	4,882	3,162

**Home Visiting Contacts\***

	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	Total
	actual	actual	actual	actual	actual	actual	actual	actual	actual	actual	actual	actual	actual
Home/Outside	2961	2627	2959	3271	3433	3019	2223	2423	2051	2603	2506	2936	33,012
Attempted	1293	1115	1224	1342	1447	1295	825	1063	837	1054	1028	1034	13,557
Phone	2530	2334	2661	2934	2988	2756	1853	2170	1869	2164	2159	2015	28,433
Transports	147	146	171	219	209	196	114	135	116	189	151	119	1,912
Group	101	176	274	416	299	176	89	85	136	195	126	323	2,396
Other	471	561	653	656	743	627	321	331	343	361	463	462	5,992
Agency/Prof	873	853	834	951	942	870	489	645	536	727	672	686	9,078
Collateral	547	466	575	618	550	465	370	432	412	419	403	341	5,598
Scales	493	472	496	440	494	475	890	917	828	846	853	812	8,016

\*Felix mandates took effect in November, 2000. Projections were based on 100% referrals to Home Visiting programs from November, 2000. Monthly Contacts are difficult to project because they are based on each family's need rather than an expected amount.

Healthy Start Services  
FY 2002  
Act 259, Section 25

Fiscal Year 2002

	Jul-01		Aug-01		Sep-01		Oct-01		Nov-01		Dec-01		Jan-02		Feb-02		Mar-02		Apr-02		May-02		Jun-02		Total	Total
Home Visiting Clients	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Project dupli	Actual dupli	Projectd undup	Actual undup
FamiliesServed	1966	1964	2002	2068	2038	2087	2074		2109		2145		2181		2217		2252		2288		2324		2359		3787*	
ChildrenServed	2029	2103	2066	2207	2103	2225	2140		2176		2214		2251		2288		2324		2361		2398		2434		3,908	

Home Visiting Contacts**	July Actual	Aug Actl	Sept Actl	Oct Actl	Nov Actl	Dec Actl	Jan Actl	Feb Actl	Mar Actl	Apr Actl	May Actl	June Actl	TOTAL Actl
Home/Outside	3110	3398	3570										
Attempted	1651	1615	1422										
Phone	2915	3068	2789										
Transports	167	210	183										
Group	118	155	182										
Other	758	769	794										
Agency/Prof	1182	1094	953										
Collateral	688	693	592										
Scales	413	408	358										

\*Families served projections for FY 2002 were lower than projections of FY 2001 because FY 2002 projections were based on FY 2001 actual data. FY 2001 projections were based on FY 2000 rates prior to Felix mandates. See summary for detailed explanation.

\*\*Monthly Home Visiting Contacts are difficult to project because they are based on individual family's needs rather than an expected amount.

Healthy Start Services  
FY 2003  
Act 259, Section 25

Fiscal Year 2003

	Jul-02		Aug-02		Sep-02		Oct-02		Nov-02		Dec-02		Jan-03		Feb-03		Mar-03		Apr-03		May-03		Jun-03		Total	Total
Home Visiting Clients	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Projected dupli	Actual dupli	Project dupli	Actual dupli	Projectd undup	Actual undup
FamiliesSrvd	2394		2415		2436		2457		2478		2499		2520		2541		2562		2583		2604		2625		4,213	
ChildrenServed	2471		2492		2514		2536		2557		2579		2601		2622		2644		2666		2687		2709		4,348	

Home Visiting Contacts*	July Actual	Aug Actl	Sept Actl	Oct Actl	Nov Actl	Dec Actl	Jan Actl	Feb Actl	Mar Actl	Apr Actl	May Actl	June Actl	TOTAL Actl
Home/Outside													
Attempted													
Phone													
Transports													
Group													
Other													
Agency/Prof													
Collateral													
Scales													

\*Monthly Home Visiting Contacts are difficult to project because they are based on individual family's needs rather than an expected amount.

Healthy Start															
Home Visiting Expenditures by Month Using FAMIS Report -- FY 2001															
														As of 6/30/01	FY 2001
	Appropriation	July	August	September	October	November	December	January	February	March	April	May	June	Encumbrances	Unobligated Balance
	8,881,700.00	0.00	312,174.47	275,847.07	211,499.07	408,760.14	768,947.93	222,642.72	678,666.00	768,039.17	1,006,641.16	564,827.61	34,639.57	3,569,422.45	59,592.64
Note: Appropriation=12 mos+Encumbrance+Unobligated Balance															
Some FY 2001 contracts are still outstanding.															
Home Visiting POS appropriation of \$8,881,700 is the sum of the base appropriation of \$4,646,913 plus the emergency appropriation of \$4,234,787.															
Monthly figures may include services performed in the prior year but liquidated (paid) in FY 2001.															
Home Visiting Expenditures by Month Using FAMIS Report From July to September 2001 and Projected Home Visiting Expenditures by Month from October 2001 to June 2002 -- FY 2002															
															FY 2002
	Appropriation	July	August	September	October	November	December	January	February	March	April	May	June	Encumbrances	Unobligated Balance
	14,553,452.00	0.00	0.00	344,174.25	1,578,808.00	1,578,808.00	1,578,808.00	1,578,809.00	1,578,809.00	1,578,809.00	1,578,809.00	1,578,809.00	1,578,808.75		0.00
Note: Appropriation=July+Aug.+Sept.+Projected Expenditures (Oct. 2001 to June 2002)															
All Home Visiting contracts have been encumbered for FY 2002.															
The Home Visiting POS appropriation of \$14,553,452 is comprised of the base appropriation of \$4,646,913 and the additional biennium budget request appropriation of \$9,906,539.															
In the proviso, the amount of \$10,506,539 is the sum of \$9,906,539 of the additional biennium budget request for home visiting services plus the \$600,000 for Child Development services.															
We are addressing only the Home Visiting services and not the Child Development services since the proviso mentions only Home Visiting services.															
Projected Home Visiting Expenditures by Month Report -- FY 2003															
															FY 2003
	Appropriation	July	August	September	October	November	December	January	February	March	April	May	June	Encumbrances	Unobligated Balance
	18,094,838.00	1,507,903.00	1,507,903.00	1,507,903.00	1,507,903.00	1,507,903.00	1,507,903.00	1,507,903.00	1,507,903.00	1,507,903.00	1,507,903.00	1,507,904.00	1,507,904.00		
Note: Appropriation= July 2002 - June 2003 monthly projected expenditures for Home Visiting services.															
No funds encumbered until FY 2003, but General Funds of \$18,094,838 have been designated to respective Healthy Start Providers contracts.															
The \$18,094,838 appropriation for Home Visiting services is the sum of the base appropriation \$4,646,913 plus the additional biennium budget request of \$13,447,925.															
The proviso figure of \$14,047,925 is comprised of the \$14,406,925 of the biennium budget request plus the \$600,000 in Child Development biennium budget request less the support from Early Intervention Special Fund for \$959,000.															